

SFW

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

C 1 P E

MAY 28 2004  
PATENT & TRADEMARK OFFICE  
U.S. DEPARTMENT OF COMMERCE

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

4

Application Number	10/646,532
Filing Date	August 22, 2003
First Named Inventor	Kenneth COLLINS, et al.
Art Unit	1763
Examiner Name	Unknown
Attorney Docket Number	006915 P03

## ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
<b>Remarks</b>		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Robert M. Wallace	Reg. No. 29,119
Signature		
Date	05/21/09	

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Shelly Hart	
Signature		Date
	05/24/2009	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

CERTIFICATE OF MAILING  
I hereby certify that this paper and every  
paper referred to therein as being enclosed  
is deposited with the U.S. Postal Service  
as first class mail, postage prepaid, in  
an envelope addressed to Commissioner  
for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

on MAY 24 2004 (Date of Deposit)  
05/24/04 S. Kelly [Signature]  
Date Name



PATENT

Attorney Docket No. 6915 P03  
RW Ref. No. APM/001-02-CP1-3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Kenneth COLLINS, et al. ) Group Art Unit: 1763  
)  
Entitled: PLASMA IMMERSION ION ) Examiner: Unknown  
IMPLANTATION APPARATUS INCLUDING A )  
CAPACITIVELY COUPLED PLASMA SOURCE )  
HAVING LOW DISSOCIATION AND LOW MINIMUM )  
PLASMA VOLTAGE )  
)  
Serial No.: 10/646,532 )  
)  
Filing Date: August 22, 2003 )

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97(b)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Attached hereto is Form PTO-1449 listing documents believed relevant to the subject application. It is respectfully requested that these documents be made of record and an initialed copy of each form be returned to the undersigned.

No representation is made that more pertinent material is not available or should not be considered by the Examiner. It is expected that the Patent and Trademark Office will independently conduct a complete search of appropriate art. Furthermore, no admission is being made that these documents are prior art, and applicant reserves the right to challenge any such consideration.

It is believed that this disclosure complies with the requirements of 37 CFR 1.56, 1.97, and 1.98, and the Manual of Patent Examining Procedures, section 609 and 707.05. If for some reason the Examiner considers otherwise, it is respectfully requested that the undersigned be called so that any deficiencies can be remedied.

A copy of each foreign patent document and/or non-patent literature is enclosed. Some of the documents may have markings on them. No significance is meant to be attached to the markings. These documents are not necessarily analogous art.

Respectfully submitted,



Dated: 05/21/09

Robert M. Wallace  
Registration No. 29,119  
Attorney for Applicants

Robert M. Wallace  
Patent Counsel  
2112 Eastman Avenue, Suite 102  
Ventura, CA 93003  
(805) 644-4035

INFORMATION  
DISCLOSURE  
STATEMENT BY APPLICANT

Sheet 1 of 1



Application Number: 10/646,532  
 Filing Date: August 22, 2003  
 First Named Inventor: Kenneth COLLINS, et al.  
 Group Art Unit: 1763  
 Examiner Name: Unknown  
 Attorney Docket Number: 006915 P03

U. S. PATENT DOCUMENTS

Examiner Initials	Document No	Publication Date	Name of Patentee or Applicant of Cited Document	Class	Subclass	Filing Date
	US-5,074,456	12-24-1991	DEGNER ET AL.	228	121	09-18-1990
	US-5,653,811	08-05-1997	CHAN	118	723	07-19-1995
	US-6,000,360	12-14-1990	KOSHIMUZU	118	723	07-02-1997
	US-6,392,351 B1	05-21-2002	SHUNKO	315	111.51	05-03-1999
	US-6,679,981 B1	01-20-2004	PAN ET AL.	204	298.06	05-11-2000

FOREIGN PATENT DOCUMENTS

Examiner Initials	Foreign Patent Document	Publication Date	Country	Name of Patentee or Applicant of Cited Document	Translation? (Yes/No/n/a)

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	

Examiner's Signature:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.